



## REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant  
application filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/873,505
Filing Date	04 June 2001
Examiner Name	Yu G.
First Named Inventor	Cao et al.
Group Art Unit	1928
Attorney Docket Number	1617

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This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Consider the Information Disclosure Statement (IDS) under 37 CFR 1.97(d) previously filed on \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply (10 pages)
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Petition for Extension of Time - 3 Months

Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other

3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 14-0455.
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other Information Disclosure Fee
- b. ☐ Check in the amount of \$\_\_\_\_\_ is enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

11/18/2003 JADD01 00000080 140455 09873505

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Karen G. Kaiser	Registration No. (Attorney/Agent)	33,506
Signature		Date	11/14/03

### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope address to Mail Stop RCE, P.O. Box 1450, Commissioner of Patents, Alexandria, VA 22313-1450 on this date: 14 November 2003

Typed or printed name	Deborah J. Mouzon	Date	11/14/03
Signature		Date	11/14/03

Burden Hour Statement: This form is estimated to take 62 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



CASE 1928

THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

CAO, ET AL.

S.N. 09/873,505

FILED: 4 JUNE 2001

FOR: STARCH-OIL COMPOSITES FOR USE IN  
PERSONAL CARE APPLICATIONS

Group Art Unit: 1617

Examiner:

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Commissioner of Patents and Trademarks

P.O. Box 1450

Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Responsive to the Advisory Action dated 29 August, 2003, in the above-identified application, having a period for response set to expire 20 August, 2003 extended three months to 20 November, 2003 by petition herewith, and having filed a Request for Continuing Examination, Applicant respectfully requests the following preliminary amendment be entered and the claims considered in light thereof. If the Examiner is not persuaded by the amendments and arguments below, Applicants request the opportunity for an interview prior to issuance of a final rejection.